



## ACKNOWLEDGEMENTS BY CLIENT

I acknowledge that:

- I have carefully read the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.
- The contents of the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges have been fully explained to me by the materials provided by IBHK in a language which I understand. I have agreed to the IBHK Client Agreement being in English.
- Should I request IBHK to provide me trading permission in relation to securities listed or traded on the Stock Exchange of Hong Kong or for the Stock Connect Northbound Trading Service, my consent in relation to paragraph 45 of the IBHK Client Agreement will apply. I further acknowledge that if I do not agree to give my consent under paragraph 45 of the IBHK Client Agreement, I will not request for or request to remove from such relevant trading permission.
- Should I choose to use the algorithms available on the trading platform, I certify that I am aware of the training and documentation available on the IBHK website and have a good understanding of the algorithms as well as the compliance and regulatory issues that may arise from algorithmic trading.
- I have been given an adequate opportunity to ask questions of IBHK's representatives and to consult my own legal advisers about the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.
- I have received the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges. I am trading on my own account.
- I have read and completed the Account Application and Supplemental Questions for Hong Kong Users previously provided to me by IBHK and confirm that the information I have provided is true and complete.
- I agree to electronically sign additional documents included during the account opening process and from time to time, including, but not limited to risk disclosures and other agreements.

IF YOU ARE FROM HONG KONG YOU ARE REQUIRED TO MANUALLY SIGN THIS FORM AND SEND IT TO IBHK TO EVIDENCE THAT YOU HAVE RECEIVED IT, READ IT, UNDERSTAND IT AND AGREE TO ITS TERMS. IF YOU ARE NOT FROM HONG KONG YOU ARE REQUIRED TO SEND THIS FORM TO IBHK. RATHER, YOU ARE ONLY REQUIRED TO SIGN IT ELECTRONICALLY TO EVIDENCE THAT YOU HAVE RECEIVED IT, READ IT, UNDERSTAND IT AND AGREE TO ITS TERMS.

Account Number: \_\_\_\_\_

Name of Account Holder: \_\_\_\_\_

Signature of Account Holder: \_\_\_\_\_

Date: \_\_\_\_\_

Name of Second Account Holder: \_\_\_\_\_

Signature of Second Account Holder: \_\_\_\_\_

Date: \_\_\_\_\_